



AVANTAA GOLD JEWELLERY L.L.C

Onboarding form

1. General Information

Full legal name of Company			
Registered/Business address			
Legal form of the Company (LLC, LLP, PJSC, SP)			
VAT Registration Number			
Contact details	Name		
	Email		
	Phone		

2. Regulatory Information

License Details	License number		License Issued By	
	Issue date		Expiry date	
	Date of Incorporation		Place of Issue	

3. Subsidiaries and branches inside and outside UAE

Tick here if not applicable

Company Name	Relation	Country of Incorporation	Countries of Operations

4. Shareholder Information

Full Name	Nationality/ Country of Incorporation	Incorporation Date /D.O.B	ID Number/ Registration No.	PEP (Y/N)	Share %



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5. Ultimate Beneficial Ownership (who ultimately own or effectively exercise control over the company)

UBO Full Name	Nationality	D.O.B	ID Number	Residential Status (Resident/Non-Resident)	Share %

6. Authorised Signatory's Information

Full Name	Designation	Nationality	ID Type	ID No.	Expiry Date

7. Bank Account Information

S.N.	Bank Name	Account No./IBAN No.	Branch	Currency

8. Supplier Details (Information related to Precious Metal Suppliers only)

Name of supplier	Location	Type of Metal (Fine/Recycled/Mined)	Country Of Origin

9. Payment Method

<input type="checkbox"/> Bank Transfer	<input type="checkbox"/> Cheque	<input type="checkbox"/> Cash	<input type="checkbox"/> Metal to Metal
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10. Business & Activity Details

a. Business Activity	<input type="checkbox"/> Precious Metal Dealers & Traders <input type="checkbox"/> Wholesale Jewellery <input type="checkbox"/> Scrap Dealers <input type="checkbox"/> Importer, Exporter & Re-Exporter <input type="checkbox"/> Coins Dealer <input type="checkbox"/> Miner LSM <input type="checkbox"/> Others (Please Specify)		<input type="checkbox"/> Retail Jewellery <input type="checkbox"/> Manufacturer Jewellery <input type="checkbox"/> Bank <input type="checkbox"/> Refinery <input type="checkbox"/> Coin Dealer <input type="checkbox"/> Miner ASM	
b. Are you authorized to import/export precious metals?	Import	Export		
	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable		
c. Describe the core business activities				
d. No. of years of experience performing the above-described activities				
e. What is the form of precious metals planned to deal with us?	Unprocessed recycled precious metals		Melted recycled precious metals	
	<input type="checkbox"/> LBMA GD Bullion <input type="checkbox"/> Coins <input type="checkbox"/> Dore <input type="checkbox"/> Non LBMA Good Delivery Bullion (Au= >995 / Ag= >9999) <input type="checkbox"/> Jewellery <input type="checkbox"/> Broken Jewellery <input type="checkbox"/> Collected waste <input type="checkbox"/> Others, please specify		<input type="checkbox"/> Rudimentary bars (undefined dimension and fineness)	
f. Describe the type of counterparties and suppliers you typically work with	<input type="checkbox"/> Jewellers <input type="checkbox"/> Traders <input type="checkbox"/> Banks		<input type="checkbox"/> Miners <input type="checkbox"/> Refiners <input type="checkbox"/> Individuals	
	Others specify:			



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11. Origin of the Precious Metals

Profile of your precious metal's suppliers.	<input type="checkbox"/> Individual	<input type="checkbox"/> Company
Country(ies) of origin of precious metals delivered to us?		

12. PEP Declaration

	Yes	No
Does the Company have related to any PEP/RCA?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, mentioned the PEP/RCA profile		

13. AML Due Diligence Questionnaire

	Yes	No	N/A
a. Does the Company have a person responsible (Compliance Officer) for all AML- CFT matters (Due Diligence, AML Policies, Internal Training)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MLRO Name			
Mob No.			
Email address			
b. Does the Company have risk-based assessment of its precious metal's suppliers (e.g. Low, Medium, High)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Does the Company have process and procedures mentioned in the AML/CFT law and regulations of the countries where it operates?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Does the Company source precious metal from CAHRA region and High-risk Jurisdiction? If yes, Provide the list of countries and details.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Does the Company have a procedure in place to prevent, detect and report suspicious transactions from its suppliers to the relevant Authority?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Does the Company have a maximum amount as per internal policy or regulatory framework?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Amount allowed for cash payment? If yes, how much?			
• Amount of deal per supplier in USD? If yes, how much?			
g. How long does the Company keep its Due Diligence files (records)?			
h. Name of the AML/CFT Law			
i. Mention the name of the regulator			
j. What is the typical profile of your precious metal's suppliers?	Percentage		
<input type="checkbox"/> Individual	%	<input type="checkbox"/> Corporate	%



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Declaration & Undertaking

To,

Date:

Avantaa Gold Jewellery L.L.C

Dubai, UAE

We hereby undertake to fully comply with the customer due diligence and onboarding procedures of Avantaa Gold Jewellery L.L.C, providing all requested information accurately and promptly.

Additionally, we confirm and undertake the following:

- ✚ I/We am authorised to make this application on behalf Company mentioned in Section 1.
- ✚ I/We confirm that the information provided in this form is accurate, truthful, and complete to the best of my knowledge and belief.
- ✚ I/We will notify immediately and in writing of any material change to the information given in this form.
- ✚ I/We confirm that the company and its directors, officers, and employees or persons representing the company hold or will obtain all necessary authorisations / licences in all appropriate jurisdictions to enable it to conduct business.
- ✚ I/We confirm that the company represents that it will not knowingly violate any local and international laws, rules and regulations that apply to the company's operations.
- ✚ I/We confirm that the details of the ultimate beneficial owners (UBOs) of our entity have been disclosed accurately. We further undertake to immediately inform you of any changes to the beneficial ownership structure/trade license details/trade activities/location change and changes in authorised signatories.
- ✚ I/We commit to providing any additional information or supporting documentation requested by you as part of the ongoing client relationship and regulatory compliance.
- ✚ I/We confirm that neither the company nor its beneficial owners, directors, or authorized representatives are involved, directly or indirectly, in money laundering, terrorist financing, or related activities. We remain fully committed to compliance with all applicable AML and CTF laws and regulations in the UAE and in the jurisdictions of our counterparties, consistent with DNFBP obligations.
- ✚ I/We confirm that all precious metals, funds, or proceeds brought for business or transferred to you via Cash, bank transfer, cheque, metals or other means are derived exclusively from our legitimate, licensed business activities.
- ✚ I/We acknowledge full responsibility for the actions or omissions of our representatives, employees, or agents acting on our behalf and undertake to hold you harmless from any losses or damages resulting from misuse or unauthorized actions within their authority.

Authorised Person:

Name: _____

Designation: _____

Authorised Signature: _____

Company Seal: _____



AVANTAA GOLD JEWELLERY L.L.C

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Letter of Authorization

Date:

To,

Avantaa Gold Jewellery L.L.C

Dubai, UAE

Subject: Authorization of Signatory(ies) for Representation

I/We hereby authorize the following individual(s) to conduct all business transactions on our behalf and to operate our profile with Avantaa Gold Jewellery L.L.C to the fullest extent necessary, including but not limited to the authorities detailed below.

- Negotiate and fix gold prices, and arrange for the delivery of gold.
- Receive delivery of gold on our behalf.
- Sign statements, agreements, and any other documents related to the business transactions.
- Sign and issue receipts, vouchers, and other documentation in connection with the delivery of gold and related payments.
- Collect cheques, cash, or any other payment methods related to transactions involving precious metals and stones.

Name	Designation	Emirates ID/ Passport No.	Contact No.	Signature

This authorization shall remain valid until revoked in writing by us.

Any change in the status of the above individuals will be immediately informed to you in writing.

We hereby indemnify Avantaa Gold Jewellery L.L.C in relation to any loss, financial or otherwise resulting from the inadequacy or inaccuracy of any information provided by us.

We accept full responsibility for any acts carried out by the authorized person(s) within the scope of this authorization.

Authorised Person:

Name: _____

Designation: _____

Authorised Signature: _____

Company Seal: _____



AVANTAA GOLD JEWELLERY L.L.C

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Source of Funds/Wealth Declaration

To,

Date:

Avantaa Gold Jewellery L.L.C

Dubai, UAE

I hereby affirm that my accumulated wealth originates from lawful and legitimate sources and is not, in any way, connected to or derived from criminal activity, money laundering, or the financing of terrorism.

I fully understand the legal implications and potential consequences of providing false or misleading statements regarding the source of my wealth. This declaration is made voluntarily, accurately, and with full awareness of its legal and regulatory significance.

✓	Source	Information/Details
<input type="checkbox"/>	Income from Employment	Company Name: Designation:
<input type="checkbox"/>	Income from Business	Company Name: Designation:
<input type="checkbox"/>	Sale of Property	Property Value:
<input type="checkbox"/>	Gift / Inheritance	Name of Donor:
<input type="checkbox"/>	Investment Income	Investment Details:
<input type="checkbox"/>	Other (please specify):	

Authorised Person:

Name: _____

Designation: _____

Authorised Signature: _____

Company Seal: _____